

Scheme Specific conditions

Health and Safety Management System:

- a. Certified HSMS clients must inform the local representative office of SCUUK (SOCOTEC Certification UK Ltd), without delay, of the occurrence of a serious H&S incident or breach of H&S regulation necessitating the involvement of the competent regulatory authority.
- b. SCUUK (SOCOTEC Certification UK Ltd) may require a special audit to be conducted in the event of becoming aware that there has been a serious accident, or a serious breach of H&S regulation, in order to investigate if the HS management system has not been compromised and functions effectively.
- c. A serious accident, or a serious breach of H&S regulation necessitating the involvement of the competent regulatory authority, provided by the certified client or directly gathered by the audit team during a special audit, shall provide grounds for the Certification Body to decide on the actions to be taken, including a suspension or withdrawal of the certification in cases where it can be demonstrated that the system seriously failed to meet the H&S certification requirements.

Food Safety System Certification

- 1) Certificates may be suspended or withdrawn by The FSSC Foundation or by SCUUK upon instruction by the Foundation, as a result of CB noncompliance to scheme requirements or termination of a CB license with the Foundation.
- 2) Certified FSSC clients must inform the local representative office of SCUUK (SOCOTEC Certification UK Ltd) within 3 working days related to the following:
 - a) any significant changes that affect the compliance with the scheme requirements and obtain advice of the local representative office of SCUUK (SOCOTEC Certification UK Ltd) in cases where there is doubt over the significance of a change;
 - b) Serious events that impact the FSMS, legality and/or the integrity of the certification including situations that pose a major threat to food safety issues or certification integrity as a result of Force majeure, natural or man-made disasters (e.g., war, strike, terrorism, crime, flood, earthquake, malicious computer hacking, etc.); In this case, SCUUK will conduct a risk assessment during a special visit which shall provide grounds to decide on the actions to be taken, including a suspension or withdrawal of the certification in cases where it can be demonstrated that the integrity of the certification is not maintained.
 - c) Serious situations where the integrity of the certification is at risk and/or where the Foundation can be brought into disrepute. These include, but are not limited to:
 - Public food safety events (e.g., public recalls, withdrawals, calamities, food safety outbreaks, etc.);

- Actions imposed by regulatory authorities as a result of a food safety issue(s), where additional monitoring or forced shutdown of production is required;
 - Legal proceedings, prosecutions, malpractice, and negligence; and fraudulent activities and corruption.
- d) changes to organization name, contact address and site details;
 - e) changes to organization (e.g. legal, commercial, organizational status or ownership) and management (e.g. key managerial, decision-making or technical staff);
 - f) Major changes to the food safety management system, scope of operations and product categories covered by the certified management system (e.g. new products, new processing lines, etc.);
 - g) Any other change that renders the information on the certificate inaccurate.
 - h) The certified organization allows SCUUK (SOCOTEC Certification UK Ltd) and Foundation FSSC to share information regarding their certification status with external parties and information relating to the certification and auditing process with the FSSC Foundation, the Accreditation Body, the IAF, GFSI and governmental authorities when required.
 - i) The certification status of the organization shall be included in the FSSC Assurance Platform and reflected within the FSSC 22000 website within the defined timeframes of the FSSC scheme applicable version in force.
 - j) Where minor and/or major nonconformities are raised during audits, the certified organization shall respond to the local representative office of SCUUK (SOCOTEC Certification UK Ltd) within 14 calendar days from last day of the audit, otherwise the certification may be suspended. An onsite follow-up audit may be required. If a critical nonconformity is raised, the certification will be suspended within 3 working days of being issued, for a maximum period of 6 months. The organization shall provide a response to the nonconformities within 14 calendar days after the audit. An on-site follow-up audit shall be conducted within 6 months of the regular audit, where the nonconformity cannot be resolved within 6 months, the certification will be withdrawn. If raised at an initial audit, the audit is failed and shall be repeated.
 - k) *Ref: GEN TERMS AND CONDITIONS (L022), clause 7b* includes the FSSC foundation.